

DOCKET NO.: ABMS-0154/B010360

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Yi Hu, et al.

Group Art Unit: 2831

Serial No.: 10/034,063

Customer No.:

Filing Date: December 26, 2001

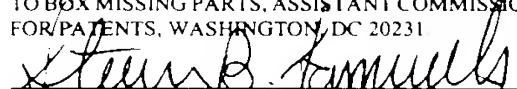
Confirmation No.: 9454

For: **SYSTEM AND METHOD FOR COORDINATED CONTROL OF A  
SWITCHED POWER CAPACITOR WITH AN INTEGRATED  
RESONANCE PROTECTION SYSTEM**

DATE OF DEPOSIT:

2/26/02

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
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TO BOX MISSING PARTS, ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, DC 20231

  
TYPED NAME: Steven B. Samuels  
REGISTRATION NO.: 37,711

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated **February 6, 2002**, a response to which is due **April 6, 2002**, enclosed herewith for filing  
is:

**Declarations and Powers of Attorney**

- ☒ **A Combined Declaration and Power of Attorney, executed by the  
inventor(s).** An unexecuted copy of this document, attached to the above-  
identified specification, was filed by Express Mail No. **EL 659 804 945 US** on  
**December 26, 2001.**
- ☐ A Combined Declaration and Power of Attorney, executed by the inventor(s) and  
identifying fewer/more inventors than those identified in the unexecuted  
declaration filed with to the above-identified application specification on \_\_\_\_,  
via by Express Mail No. \_\_\_\_. Such deletion/addition of inventors is specifically

provided for in 37 CFR Sec. 1.48.

- ☐ A copy of the original Declaration and Power of Attorney, executed by the inventor(s) as filed in parent application serial no. \_\_\_\_\_.
- ☐ A copy of the Power of Attorney with revocation filed on \_\_\_\_\_
- ☐ A Combined Power of Attorney with Revocation and Statement Under 3.73(b)
- ☒ **An associate power of attorney executed by Steven B. Samuels who is/was listed on the original declaration/power of attorney.**

#### Drawings

- ☐ \_\_\_\_\_ Sheets of Substitute drawings comprising Figures \_\_\_\_\_ in compliance with 37 C.F.R. 1.84.

#### Sequence Listing (Note - All items listed below are required if Sequence Listing is also being filed with Missing Parts)

- ☐ Paper copy of Sequence Listing
- ☐ Diskette containing Sequence Listing in computer readable form
- ☐ Amendment directing entry of sequence listing in specification
- ☐ Statement to Support Filing of Sequence listing

#### Claim for Small Entity Status

- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
  - ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization

## Miscellaneous Items

- ☒ A copy of the Notice to File Missing Parts.
- ☐ Request for Corrected Filing Receipt.
- ☐ Request for Refund Due to Small Entity Status
- ☐ Request for Refund Due to Fee Calculation Error
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ Other: \_\_\_\_\_.

## Fee Calculation and Extension of Time

- ☐ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application due \_\_\_ to and through \_\_\_, comprising an extension of the shortened period of \_\_\_ months:

|  | SMALL ENTITY |     | NOT SMALL ENTITY |               |
|--|--------------|-----|------------------|---------------|
|  | RATE         | FEE | RATE             | FEE           |
| MISSING PARTS SURCHARGE                                | \$65         | \$  | \$130            | <b>\$ 130</b> |
| <input type="checkbox"/> ONE MONTH EXTENSION OF TIME   | \$55         | \$  | \$110            | \$            |
| <input type="checkbox"/> TWO MONTH EXTENSION OF TIME   | \$200        | \$  | \$400            | \$            |
| <input type="checkbox"/> THREE MONTH EXTENSION OF TIME | \$460        | \$  | \$920            | \$            |
| <input type="checkbox"/> FOUR MONTH EXTENSION OF TIME  | \$720        | \$  | \$1440           | \$            |
| <input type="checkbox"/> FIVE MONTH EXTENSION OF TIME  | \$980        | \$  | \$1960           | \$            |
| TOTAL FEE DUE  |              |     |                  | <b>\$ 130</b> |

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

- ☒ A check in the total amount due of **\$ 130.00** is attached to cover the surcharge and any requested extension of time. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.

☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.

☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.

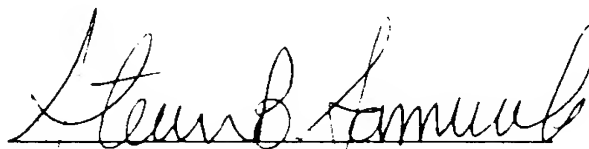
☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

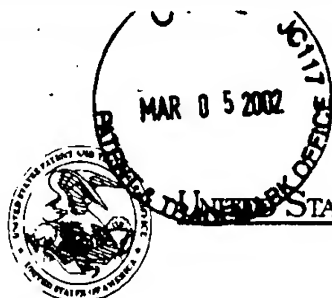
☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date:

  
**STEVEN B. SAMUELS**  
 Registration No. 37,711

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 Philadelphia PA 19103  
 Telephone: (215) 568-3100  
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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/034,063         | 12/26/2001          | Yi Hu                 | ABMS-0154/010360       |

CONFIRMATION NO. 9454

## FORMALITIES LETTER



\*OC000000007432444\*

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103

Date Mailed: 02/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*T. H.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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